# Introduction to the State Performance Plan (SPP)/Annual Performance Report (APR)

#### **Executive Summary:**

The Individuals with Disabilities Education Act (IDEA) Part C is known as the Birth to Three program in South Dakota and is housed within the Department of Education. The Birth to Three program has contracts with 8 regional Birth to Three programs throughout the state. These regional programs provide the service coordination for 66 counties in South Dakota. South Dakota Birth to Three has a strong partnership with school districts as all evaluations for Birth to Three are conducted by school district personnel. This creates a link for family engagement and communication between families, Birth to Three and the child's resident school district.

South Dakota Birth to Three utilizes an online data system in which Individualized Family Service Plans are entered. This secure system allows for real time information for providers, service coordinators and state staff. Through this system South Dakota is able to verify that regional programs and providers are consistently achieving high levels of compliance with IDEA requirements.

In conjunction with the State Systemic Improvement Plan (SSIP), South Dakota Birth to Three program made changes in 2015 to the state office infrastructure. These changes were brought about with the assistance of stakeholders and will better equip South Dakota to sustain and build capacity for SSIP work as we maintain compliance and ensure the use of evidence-based practices to support achievement of results for infants and toddlers with disabilities and their families.

These infrastructure changes are discussed in their respective areas of the introduction.

#### **Attachments**

File Name

Uploaded By

**Uploaded Date** 

No APR attachments found.

#### **General Supervision System:**

The systems that are in place to ensure that IDEA Part C requirements are met, e.g., monitoring systems, dispute resolution systems.

The South Dakota Birth to Three program policies and procedures are based on the federal regulations for Part C of the Individuals with Disabilities Education Act (IDEA) at 34 CFR Part 303 and state rules at Article 24:14. The following is an overview of the State's general supervision system:

#### 1. Infrastructure

a. The lead agency is the Department of Education. The Birth to Three program has divided the state into eight regions which include 66 counties.

6/8/2016 Page 1 of 66

- b. Every three years, the Birth to Three program puts forth a Request for Proposal (RFP) to provide service coordination. This RFP is advertised to the public and interested organizations. Upon approval, one year contracts are approved with recipients submitting financial and budgetary information through quarterly progress reports.
- c. Each early intervention provider is required to submit certification, licensure, and background checks to ensure they meet the state's qualified standards. These documents are reviewed by Birth to Three state staff.
- d. Early intervention providers sign a provider agreement to abide by all federal and state laws and regulations which include requirements related to serving children in natural environments.
- e. In addition, the state Birth to Three office provides oversight to school district programs providing Birth to Three services to children who meet specific eligibility requirements.
- f. In the summer of 2015, in conjunction with the SSIP Phase II, South Dakota restructured the Birth to Three program state leadership team. In order to better meet the needs of the Birth to Three program and support the systemic changes of the SSIP, a team member was designated to provide statewide technical assistance, a team member was devoted to data analysis and data quality, and another team member to the professional development associated with the evidence-based practices and the training that will be ongoing. Each program specialist is, however, cross-trained for each area to ensure full assistance to Birth to Three partners.

#### 2. Data System

- a. The State Birth to Three program has an online data system that includes data on programmatic and demographic elements and includes all children's IFSPs. The system also facilitates the billing process for early intervention services.
- b. The billing system allows early intervention providers to only bill for what was written by the IFSP team in regards to frequency/intensity/location of early intervention services.
- c. Each provider reimbursement request, submitted via an online system, is reviewed by Birth to Three state office staff to ensure that state and federal regulations and guidelines are met before payment is approved.
- d. All provider reimbursement requests are linked to IFSPs. Providers are unable to bill for services that are not linked to an IFSP.
- e. The State Birth to Three online IFSP data system also allows service coordinators to view reports relating to child count verification and SPP/APR indicators. There are several reports that serve as edit checks in order to assist service coordinators in ensuring the data they enter is valid and reliable.

#### 3. Monitoring

a. The Birth to Three state office conducts ongoing monitoring activities on all programs and services. The eight regional programs are held responsible for implementing the Birth to Three program consistent with federal and state requirements. The state data system is the primary source of monitoring data. State staff are able to review compliance and reports on most SPP/APR indicators through the data system. In some instances, state staff conduct additional drill-down and inquiry to obtain information on reasons for potential delay or other factors important to consider in monitoring for requirements.

Any noncompliance identified results in a finding of noncompliance. The state then works with the entity

6/8/2016 Page 2 of 66

to ensure and verify correction of the noncompliance according to the two federal requirement prongs of correction (OSEP 09-02).

In some instances, based on data slippage, parent information, past data reports etc., an onsite focused monitoring by Birth to Three state staff could occur. Focused monitoring involves reviewing specific children's files, interviewing service coordinators, early intervention providers, parents, etc. Findings resulting from the focused monitoring are issued as necessary. A corrective action plan for compliance issues or an improvement plan for data slippage is developed involving the regional service coordinators and others (eg. early intervention providers, school districts, etc). State Birth to Three staff approve the corrective action plan or improvement plan and provide technical assistance, assuring all improvement activities were completed in accordance with federal requirements. Verification of correction of any noncompliance is made in accordance with the required 2 prongs of correction in OSEP 09-02.

If a regional program does not meet the corrective action plan within one year, the state uses the additional incentives and/or sanctions as identified in writing to the agency. The content of the letter would include the following information:

- a. Failure to voluntarily correct an identified deficiency constitutes a failure to administer the program in compliance with federal law.
- b. The action the Division of Educational Services and Support (DESS) intends to take in order to enforce compliance with the state and federal law.
- c. The right to a hearing prior to DESS exercise of its enforcement responsibility; and
- d. The consequences of the DESS enforcement action on continued and future state and federal funding.

#### 4. Dispute Resolution

Public and parent concerns may be submitted to the state office at any time. Program contact information and 1-800 number is available on the Birth to Three website and public awareness materials. Dispute resolution processes consistent with federal and state regulation are available including: state administrative complaint resolution, due process hearing, mediation and resolution.

# Attachments File Name Uploaded By Uploaded Date No APR attachments found.

#### **Technical Assistance System:**

The mechanisms that the State has in place to ensure the timely delivery of high quality, evidenced based technical assistance and support to early intervention service (EIS) programs.

The South Dakota Birth to Three program provides ongoing comprehensive technical assistance (TA) that includes:

• The provision of specific technical assistance to programs as requested by the program or regional service coordinator grantees.

6/8/2016 Page 3 of 66

- Scheduled service coordinator calls are offered to provide TA on specific topics including improvement strategies for data quality, SPP/APR indicator training, child outcomes, outreach with other state partners and collaboration with family/community support entities,
- State staff are available and provide daily real-time TA via telephone calls and emails and onsite visits as requested.
- Technology is used to provide ongoing support as well. This includes a state listserv which is used to send information to service coordinators, school districts, SICC members and early intervention providers statewide. The listserv is used to provide pertinent program information about policy and procedure updates, rules and regulations, program needs/shortages, and training opportunities.
- Regional quarterly submission of service coordinator professional development activities and case load data.
- Service coordinator contact information is shared among all state Birth to Three personnel, giving ease of access among providers and coordinators to share best practices and collaborate on issues.

The state staff have developed and provided regional staff a self-monitoring checklist that covers the SPP/APR indicators and federal/state rules and regulations. This is recommended to be used by regional staff to determine the status of their implementation of Part C requirements to guide their on-going supervision and continuous improvement. Regional programs can request technical assistance from state staff as needed to address any issues identified.

The state team also uses the results of the annual APR performance including the results from the annual parent surveys to help plan technical assistance activities.

Attachments		
File Name	Uploaded By Uploaded Date	
No APR attachments found.		

#### **Professional Development System:**

The mechanisms the State has in place to ensure that service providers are effectively providing services that improve results for infants and toddlers with disabilities and their families.

The South Dakota Birth to Three program's Professional Development system has a number of components including:

- 1. All providers who work in the program must meet qualified personnel standards as required by federal and state regulations.
- All new service coordinators receive several days of one-on-one trainings.
- All new service providers receive one-on-one reimbursement training.
- 4. An annual face to face training is held for all Birth to Three service coordinators.
- 5. Monthly service coordinator calls are held with Birth to Three state staff and include updates on policies and procedures, and presentations on relevant topics by Parent Connection (State PTI) and other state agency partners. Topics have included parent rights, hearing services, vision services, outcome writing, state and federal rules, interpreter services, etc.

6/8/2016 Page 4 of 66

- 6. Statewide and regional public trainings are offered on topics such as early literacy, early childhood guidelines and a Birth to Three program overview.
- 7. Periodic training events are also held as needed for service providers. Recent and planned topics include effective outcome writing, use of insurance, Medicaid reimbursement, and tele-therapy.
- 8. Periodic training opportunities are announced or planned and provided in collaboration with other agencies including the Center for Disabilities, Part B, Parent Connection, Head Start, and Medicaid.
- 9. Online tutorials for writing child outcomes are available for new and seasoned providers.
- 10. In the summer of 2015, South Dakota added a new online learning platform to the professional development tools. From this online platform service coordinators and direct service providers can access training tools for implementation of the SSIP evidence-based practices. This comprehensive learning opportunity provides a support system and promotes participation in ongoing professional development regardless of physical location. Using this platform, the South Dakota Birth to Three program is building a continuum of learning opportunities for our early interventionists regardless of their role in the Birth to Three program. Established as a private learning community, participants can also access research, a video library, discussion boards and blogs.

While the online platform was first intended to provide cost-effective training opportunities for the SSIP work, state staff have quickly learned the value of this format for training of new service coordinators and direct service providers along with refreshers for veteran partners.

Attachments			
File N	lame	Uploaded By	Uploaded Date
No APR attachments found.			

**Stakeholder Involvement:** Apply this to all Part C results indicators

The mechanism for soliciting broad stakeholder input on targets in the SPP, including revisions to targets.

The South Dakota Part C Birth to Three program has a strong relationship with the State Interagency Coordinating Council. Through quarterly meetings, members are kept abreast of program development and data trends. The State Interagency Coordinating Council (SICC) was heavily involved in the planning and writing the 5-year Birth to Three SPP/APR plan. This was through regularly scheduled SICC meetings as well as other communications. The culmination of the SICC work took place in November and December of 2014 and January, 2015.

In January 2016, the SICC convened to review FFY2014 data and relation to targets. SICC members reviewed and analyzed state and regional data with special consideration of data quality, trends, national data and other state data sources. SICC members discussed and considered facts specific to South Dakota including but not limited to critical shortage of providers, population sparsity in rural geographic locations leading to limited resources, Birth to Three program growth and financial implication. It was decided that targets would remain the same with no adjustments.

To ensure a broad overview of the state early intervention and demographics, SICC members represent a wide variety of programs and agencies such as Early Head Start, the Division of Insurance, early intervention providers, parents, South Dakota's Parent Training and Information Center (PTI) Parent Connection, South Dakota Department of Health, Black Hills State University Personnel Preparation, South Dakota Medical Service/Medicaid, South Dakota Office of Coordination of Homeless Children, South Dakota Foster

6/8/2016 Page 5 of 66

Care/Child Protection Services/Auxiliary Placement, South Dakota Department of Human Services, South Dakota Child Care Services, Birth to Three regional program contractors, South Dakota education cooperative, Part B, Part B 619, school district special education administration, Tribal Head Start, South Dakota State Legislator and Part C staff. The diversity of membership results in valuable discussion of resources, challenges, initiatives and recommendations.

State ICC meeting dates, times, agendas and meeting minutes are posted on the Department of Education website and the South Dakota Boards and Commissions website. These meetings are open to the public.

A final copy of the SPP/APR is provided to the Secretary of Education who is a member of the Governor's cabinet. A copy is also provided to the Governor's office.

The SPP/APR was developed by the Part C Birth to Three state staff with input from stakeholders and assistance from the Early Childhood Technical Assistance Center (ECTA), the Center for IDEA Early Childhood Data Systems (DaSy) and a private consultant.

# Attachments File Name Uploaded By Uploaded Date No APR attachments found.

#### Reporting to the Public:

How and where the State reported to the public on the FFY 2012 performance of each EIS Program or Provider located in the State on the targets in the SPP/APR as soon as practicable, but no later than 120 days following the State's submission of its FFY 2012 APR, as required by 34 CFR §300.602(b)(1)(i)(A); and a description of where, on its Web site, a complete copy of the State's SPP, including any revision if the State has revised the SPP that it submitted with its FFY 2012 APR in 2014, is available.

The South Dakota Birth to Three State Performance Plan/Annual Performance Report (SPP/APR) is located on the state's Department of Education website at <a href="http://doe.sd.gov/oess/Birthto3.aspx">http://doe.sd.gov/oess/Birthto3.aspx</a>. Program APRs from the last several years are also posted on this site.

The South Dakota Birth to Three program annually reports to the public on performance of each region for indicators C-1 to C-8 as compared to state performance. These regional program reports are located on the Birth to Three website at <a href="http://doe.sd.gov/oess/Birthto3.aspx">http://doe.sd.gov/oess/Birthto3.aspx</a>.

Public Notices are also posted in the five (5) major South Dakota newspapers notifying the public of the website <a href="http://doe.sd.gov/oess/Birthto3.aspx">http://doe.sd.gov/oess/Birthto3.aspx</a> where the State Performance Plan/Annual Performance Report (SPP/APR) and regional reports can be accessed and availability of hard copies of the reports upon request. Newspapers printing the public notices are as follows: Sioux Falls Argus Leader; Aberdeen American News; Huron Plainsman; Pierre Capitol Journal; and Rapid City Journal.

Notification is also sent to the SICC and Stakeholders, all regional Birth to Three programs, service coordinators, and providers of the availability of these reports on the Birth to Three website <a href="http://doe.sd.gov/oess/Birthto3.aspx">http://doe.sd.gov/oess/Birthto3.aspx</a> and the availability of hard copies upon request.

South Dakota Parent Connection also announces the publication of these reports in their newsletters "weConnect" and "Circuit," for parents.

#### **Attachments**

6/8/2016 Page 6 of 66

e		File Name	Uploaded By	Uploaded Date	Remove
icc certification.docx Sarah Carter  M O V e  Actions required in FFY 2013 response					R
Actions required in FFY 2013 response					e
o v e	icc certification.docx		Sarah Carter		m
Actions required in FFY 2013 response					0
ctions required in FFY 2013 response					V
					е
None	ctions required in FFV	2013 response			
		2013 response			

6/8/2016 Page 7 of 66

# **Indicator 1: Timely provision of services**

**Historical Data and Targets** 

Monitoring Priority: Early Intervention Services In Natural Environments

Compliance indicator: Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner.

(20 U.S.C. 1416(a)(3)(A) and 1442)

# **Historical Data**

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013
Target			100%	100%	100%	100%	100%	100%	100%	100%
Data		100%	100%	100%	100%	100%	100%	100%	100%	100%
Data		100%	100%	100%	100%	100%	100%	100%	100%	100%

Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update

#### FFY 2014 - FFY 2018 Targets

FFY	2014	2015	2016	2017	2018
Target	100%	100%	100%	100%	100%

6/8/2016 Page 8 of 66

# Indicator 1: Timely provision of services FFY 2014 Data

Monitoring Priority: Early Intervention Services In Natural Environments

Compliance indicator: Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner.

(20 U.S.C. 1416(a)(3)(A) and 1442)

#### FFY 2014 SPP/APR Data

Number of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner	Total number of infants and toddlers with IFSPs	FFY 2013 Data*	FFY 2014 Target*	FFY 2014 Data	Status	Slippage
421	421	100%	100%	100%	Met Target	No Slippage

<sup>\*</sup> FFY 2013 Data and FFY 2014 Target are editable on the Historical Data and Targets page.

Number of documented delays attributable to exceptional family circumstances (this number will be added to the Number of infants and	II	
toddlers with IFSPs who receive their early intervention services on their IFSPs in a timely manner)	null	

What is the source of the data provided for this indicator?

State monitoring

State database

Provide the time period in which the data were collected (e.g., September through December, fourth quarter, selection from the full reporting period).

Third quarter of FFY2014, January 1,2015 through March 31,2015

Describe how the data accurately reflect data for infants and toddlers with IFSPs for the full reporting period.

For Indicator C1, one quarter of the fiscal year was used to determine compliance with the indicator. The state selected the third quarter of FFY2014 (January 1, 2015 through March 31, 2015). This poll is considered representative of the full reporting year because the same variables are in place for this quarter of the fiscal year as in all quarters. The South Dakota Birth to Three program is confident that the chosen reporting period accurately reflects data for infants and toddlers with IFSP's for FFY2014.

Provide additional information about this indicator (optional)

In South Dakota, Birth to Three defines timely services as services beginning within 30 calendar days from the date the parent signs consent for IFSP services.

#### **OSEP Response**

OSEP cannot determine whether the data for this indicator are valid and reliable, because the State did not "Provide actual numbers used in the calculation," as required by the Instructions for this indicator. (For this indicator, the State is required to provide the "Number of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner," and the "Total number of infants and toddlers with IFSPs," but the State left those fields blank.) Therefore, OSEP could not determine whether the State met its target.

The State reported that it used data from a State database to report on this indicator. The State further reported that it did not use data for the full reporting period (July 1, 2014-June 30, 2015). The State described how the time period in which the data were collected accurately reflects data for infants and toddlers with IFSPs for the full reporting

6/8/2016 Page 9 of 66

period.

6/8/2016 Page 10 of 66

# **Indicator 1: Timely provision of services**

**Required Actions from FFY 2013** 

Monitoring Priority: Early Intervention Services In Natural Environments

Compliance indicator: Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Actions required in FFY 2013 response	
None	

6/8/2016 Page 11 of 66

# **Indicator 1: Timely provision of services**

**Correction of Previous Findings of Noncompliance** 

Monitoring Priority: Early Intervention Services In Natural Environments

Compliance indicator: Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner.

(20 U.S.C. 1416(a)(3)(A) and 1442)

#### Correction of Findings of Noncompliance Identified in FFY 2013

Findings of Noncompliance Identified	Findings of Noncompliance Verified as Corrected Within One Year	Findings of Noncompliance Subsequently Corrected	Findings Not Yet Verified as Corrected
null	null	null	0

#### Correction of Findings of Noncompliance Identified Prior to FFY 2013

	Findings of Noncompliance Not Yet Verified as Corrected as of FFY 2013 APR	Findings of Noncompliance Verified as Corrected	Findings Not Yet Verified as Corrected
None			

6/8/2016 Page 12 of 66

#### **Indicator 2: Services in Natural**

#### **Environments**

**Historical Data and Targets** 

Monitoring Priority: Early Intervention Services In Natural Environments

Results indicator: Percent of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings.

(20 U.S.C. 1416(a)(3)(A) and 1442)

#### **Historical Data**

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013
Target ≥			96.60%	96.90%	97.20%	97.50%	97.80%	97.80%	97.80%	96.80%
Data		96.80%	98.00%	100%	100%	100%	100%	100%	100%	98.96%

Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update

#### FFY 2014 - FFY 2018 Targets

FFY	2014	2015	2016	2017	2018
Target ≥	96.80%	96.80%	96.80%	96.80%	97.00%

Key: Blue – Data Update

Targets: Description of Stakeholder Input - Please see the Stakeholder Involvement section of the introduction.

Enter additional information about stakeholder involvement

6/8/2016 Page 13 of 66

# Indicator 2: Services in Natural Environments FFY 2014 Data

Monitoring Priority: Early Intervention Services In Natural Environments

Results indicator: Percent of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings.

(20 U.S.C. 1416(a)(3)(A) and 1442)

#### **Prepopulated Data**

Source	Date	Description	Data	Overwrite Data
SY 2014-15 Child Count/Educational Environment Data Groups	7/2/2015	Number of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings	1,254	
SY 2014-15 Child Count/Educational Environment Data Groups	7/2/2015	Total number of infants and toddlers with IFSPs	1,255	

#### FFY 2014 SPP/APR Data

Number of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings	Total number of infants and toddlers with IFSPs	FFY 2013 Data*	FFY 2014 Target*	FFY 2014 Data	Status	Slippage
1,254	1,255	98.96%	96.80%	99.92%	Met Target	No Slippage

<sup>\*</sup> FFY 2013 Data and FFY 2014 Target are editable on the Historical Data and Targets page.

Provide additional information about this indicator (optional)

6/8/2016 Page 14 of 66

# **Indicator 2: Services in Natural**

#### **Environments**

**Required Actions from FFY 2013** 

Monitoring Priority: Early Intervention Services In Natural Environments

Results indicator: Percent of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Actions required in F	FY 2013 response		
None			

6/8/2016 Page 15 of 66

# **Indicator 3: Early Childhood Outcomes**

**Historical Data and Targets** 

Monitoring Priority: Early Intervention Services In Natural Environments

Results indicator: Percent of infants and toddlers with IFSPs who demonstrate improved:

- A. Positive social-emotional skills (including social relationships);
- B. Acquisition and use of knowledge and skills (including early language/ communication); and
- C. Use of appropriate behaviors to meet their needs.

(20 U.S.C. 1416(a)(3)(A) and 1442)

6/8/2016 Page 16 of 66

Does your State's Part C eligibility criteria include infants and toddlers who are at risk of having substantial developmental delays (or "at-risk infants and toddlers") under IDEA section 632(5)(B)(i)? No

#### **Historical Data**

	Baseline Year	FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013
A1	2013	Target ≥						44.90%	45.00%	45.00%	45.00%	50.48%
AI	2013	Data					53.80%	44.90%	38.40%	48.90%	48.90%	50.48%
A2	2013	Target ≥						81.30%	81.40%	81.40%	81.40%	85.37%
AZ	2013	Data					85.40%	81.30%	80.20%	84.10%	85.10%	85.37%
B1	2013	Target ≥						49.30%	49.40%	49.40%	49.40%	58.82%
Б		Data					59.40%	49.30%	47.40%	48.60%	57.90%	58.82%
B2	2013	Target ≥						65.30%	65.40%	65.40%	65.40%	69.51%
B2	2013	Data					72.60%	65.30%	65.00%	65.00%	68.60%	69.51%
C1	2013	Target ≥						64.90%	65.00%	65.00%	65.00%	57.26%
Ci	2013	Data					55.30%	64.90%	68.00%	67.60%	60.90%	57.26%
C2	2013	Target ≥						90.00%	90.10%	90.10%	90.10%	84.63%
	2013	Data					91.10%	90.00%	91.20%	91.70%	83.70%	84.63%

Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update

#### FFY 2014 - FFY 2018 Targets

FFY	2014	2015	2016	2017	2018
Target A1 ≥	50.48%	50.48%	50.48%	50.48%	51.00%
Target A2 ≥	85.37%	85.37%	85.37%	85.37%	85.50%
Target B1 ≥	58.82%	58.82%	58.82%	58.82%	60.00%
Target B2 ≥	69.51%	69.51%	69.51%	69.51%	70.00%
Target C1 ≥	57.26%	57.26%	57.26%	57.26%	57.76%
Target C2 ≥	84.63%	84.63%	84.63%	84.63%	85.00%

Key: Blue – Data Update

#### **Explanation of Changes**

 $FFY2018\ Target\ A2\ was\ not\ pre-populated.\ Stakeholders\ agreed\ upon\ target\ manually\ entered.$ 

Targets: Description of Stakeholder Input - Please see the Stakeholder Involvement section of the introduction.

Enter additional information about stakeholder involvement

6/8/2016 Page 17 of 66

# Indicator 3: Early Childhood Outcomes FFY 2014 Data

Monitoring Priority: Early Intervention Services In Natural Environments

Results indicator: Percent of infants and toddlers with IFSPs who demonstrate improved:

- A. Positive social-emotional skills (including social relationships);
- B. Acquisition and use of knowledge and skills (including early language/ communication); and
- C. Use of appropriate behaviors to meet their needs.

(20 U.S.C. 1416(a)(3)(A) and 1442)

#### FFY 2014 SPP/APR Data

Number of infants and toddlers with IFSPs assessed	569.00	
--	--------	--

#### Outcome A: Positive social-emotional skills (including social relationships)

	Number of Children	Percentage of Children
a. Infants and toddlers who did not improve functioning	12.00	2.11%
b. Infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers	58.00	10.19%
c. Infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it	16.00	2.81%
d. Infants and toddlers who improved functioning to reach a level comparable to same-aged peers	58.00	10.19%
e. Infants and toddlers who maintained functioning at a level comparable to same-aged peers	425.00	74.69%

	Numerator	Denominator	FFY 2013 Data*	FFY 2014 Target*	FFY 2014 Data	Status	Slippage
A1. Of those children who entered or exited the program below age expectations in Outcome A, the percent who substantially increased their rate of growth by the time they turned 3 years of age or exited the program (c+d)/(a+b+c+d).	74.00	144.00	50.48%	50.48%	51.39%	Met Target	No Slippage
A2. The percent of infants and toddlers who were functioning within age expectations in Outcome A by the time they turned 3 years of age or exited the program (d+e)/(a+b+c+d+e).	483.00	569.00	85.37%	85.37%	84.89%	Did Not Meet Target	No Slippage

<sup>\*</sup> FFY 2013 Data and FFY 2014 Target are editable on the Historical Data and Targets page.

#### Outcome B. Acquisition and use of knowledge and skills (including early language/ communication)

	Number of Children	Percentage of Children
a. Infants and toddlers who did not improve functioning	18.00	3.16%
b. Infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers	118.00	20.74%
c. Infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it	49.00	8.61%
d. Infants and toddlers who improved functioning to reach a level comparable to same-aged peers	117.00	20.56%
e. Infants and toddlers who maintained functioning at a level comparable to same-aged peers	267.00	46.92%

	Numerator	Denominator	FFY 2013 Data*	FFY 2014 Target*	FFY 2014 Data	Status	Slippage
B1. Of those children who entered or exited the program below age expectations in Outcome B, the percent who substantially increased their rate of growth by the time they turned 3 years of age or exited the	166.00	302.00	58.82%	58.82%	54.97%	Did Not Meet Target	Slippage

6/8/2016 Page 18 of 66

	Numerator	Denominator	FFY 2013 Data*	FFY 2014 Target*	FFY 2014 Data	Status	Slippage
program (c+d)/(a+b+c+d).							
B2. The percent of infants and toddlers who were functioning within age expectations in Outcome B by the time they turned 3 years of age or exited the program (d+e)/(a+b+c+d+e).	384.00	569.00	69.51%	69.51%	67.49%	Did Not Meet Target	Slippage

<sup>\*</sup> FFY 2013 Data and FFY 2014 Target are editable on the Historical Data and Targets page.

#### **Explanation of B1 Slippage**

South Dakota identified Data Quality as one of the main strands on the states Theory of Action. Stakeholders recognized there maybe a lack of consistency in the use of the BDI-2 evaluation tool across evaluators and the need for continuous training for new staff and to update skills of experienced staff.

In collaboration with South Dakota Part B 619, in-depth BDI-2 training will be provided to all evaluators, so that evaluations are implemented consistently and results are interpreted uniformly across districts. This will improve the reliability and validity of the BDI-2 administration. Trainings will occur in Phase III of South Dakota's State Systemic Improvement Plan.

#### **Explanation of B2 Slippage**

South Dakota identified Data Quality as one of the main strands on the states Theory of Action. Stakeholders recognized there maybe a lack of consistency in the use of the BDI-2 evaluation tool across evaluators and the need for continuous training for new staff and to update skills of experienced staff.

In collaboration with South Dakota Part B 619, in-depth BDI-2 training will be provided to all evaluators, so that evaluations are implemented consistently and results are interpreted uniformly across districts. This will improve the reliability and validity of the BDI-2 administration. Trainings will occur in Phase III of South Dakota's State Systemic Improvement Plan.

#### Outcome C: Use of appropriate behaviors to meet their needs

	Number of Children	Percentage of Children
a. Infants and toddlers who did not improve functioning	11.00	1.93%
b. Infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers	50.00	8.79%
c. Infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it	11.00	1.93%
d. Infants and toddlers who improved functioning to reach a level comparable to same-aged peers	69.00	12.13%
e. Infants and toddlers who maintained functioning at a level comparable to same-aged peers	428.00	75.22%

		Numerator	Denominator	FFY 2013 Data*	FFY 2014 Target*	FFY 2014 Data	Status	Slippage
	C1. Of those children who entered or exited the program below age expectations in Outcome C, the percent who substantially increased their rate of growth by the time they turned 3 years of age or exited the program (c+d)/(a+b+c+d).	80.00	141.00	57.26%	57.26%	56.74%	Did Not Meet Target	No Slippage
fur	C2. The percent of infants and toddlers who were functioning within age expectations in Outcome C by the time they turned 3 years of age or exited the program (d+e)/(a+b+c+d+e).	497.00	569.00	84.63%	84.63%	87.35%	Met Target	No Slippage

<sup>\*</sup> FFY 2013 Data and FFY 2014 Target are editable on the Historical Data and Targets page.

#### Was sampling used? No

6/8/2016 Page 19 of 66

Did you use the Early Childhood Outcomes Center (ECO) Child Outcomes Summary Form (COSF)? No Provide the criteria for defining "comparable to same-aged peers" and list the instruments and procedures used to gather data for this indicator.

In South Dakota school districts are required by administrative rule to conduct the evaluation to determine a child's eligibility for Part C services. The Battelle Developmental Inventory Second Edition (BDI-2) is the tool ulitilized by Part B and Part C offices. Children are evaluated using this consistent method which enhances the validity of the data. The entry scores are determined by the standard deviation scores from each outcome area for each child. An "exit" BDI-2 assessment is given to children who have been in the Birth to Three program for at least 6 months and are exiting. This exit assessment serves two purposes, one for children transitioning at age three to determine eligibility for Part B 619 programs and secondly for the Part C program to determine child's developmental status.

Entry and exit BDI-2 scores are stored in the BDI-2 database. From this database, state Part C staff retrieve scores of children who have exited the Part C program during the reporting period. Part C state staff collaborate with evaluators and the Part B 619 coordinator to ensure all appropriate testing was completed and scores reported. BDI-2 entry and exit scores are then compared for those exiting children, and formulated according to the state's BDI-2 business rules to determine the child's progress in the three outcomes areas. South Dakota revised their business rules in FFY2013 to ensure better data quality and thus more effectively measure and capture growth for child outcomes.

During FFY2014, July 1, 2014 to June 30, 2015, 934 children exited the Birth to Three program of which 569 children had qualifying entry and exit BDI-2 scores. This computes to a 61% completion rate, a 15% increase over FFY2013 completion rate. Entry scores for the 569 exiting children were compared to their exit scores using defined state business rules. Resulting data was entered into the GRADS360 Indicator C3 table and reported accordingly.

South Dakota continues to monitor and provide technical assistance to increase the completion percentage for indicator C3. Data analysis of FFY2014 indicates of the 365 children who exited the Birth to Three program but did not receive a qualifying exit score, 62% were in the Birth to Three program less than 6 months.

Provide additional information about this indicator (optional)

6/8/2016 Page 20 of 66

#### **Indicator 3: Early Childhood Outcomes**

**Required Actions from FFY 2013** 

Monitoring Priority: Early Intervention Services In Natural Environments

Results indicator: Percent of infants and toddlers with IFSPs who demonstrate improved:

- A. Positive social-emotional skills (including social relationships);
- B. Acquisition and use of knowledge and skills (including early language/ communication); and
- C. Use of appropriate behaviors to meet their needs.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Actions required in FFY 2013 response								
None								

6/8/2016 Page 21 of 66

#### **Indicator 4: Family Involvement**

**Historical Data and Targets** 

Monitoring Priority: Early Intervention Services In Natural Environments

Results indicator: Percent of families participating in Part C who report that early intervention services have helped the family:

- A. Know their rights;
- B. Effectively communicate their children's needs; and
- C. Help their children develop and learn.

(20 U.S.C. 1416(a)(3)(A) and 1442)

#### **Historical Data**

	Baseline Year	FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013
_	2006	Target≥					96.20%	96.40%	96.60%	96.60%	96.60%	93.90%
A	2006	Data			93.90%	97.80%	96.50%	98.40%	99.30%	99.20%	99.04%	96.83%
		Target≥					89.80%	90.00%	90.20%	90.20%	90.20%	89.40%
В	2006	Data			89.40%	97.40%	95.60%	97.60%	98.60%	98.30%	98.09%	97.74%
	2006	Target≥					89.60%	89.80%	90.00%	90.00%	90.00%	89.30%
С		Data			89.30%	94.30%	96.20%	98.40%	99.00%	98.30%	98.56%	96.38%

Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update

#### FFY 2014 - FFY 2018 Targets

FFY	2014	2015	2016	2017	2018
Target A ≥	93.90%	93.90%	93.90%	94.00%	94.10%
Target B ≥	89.40%	89.40%	89.40%	89.50%	90.00%
Target C ≥	89.30%	89.30%	89.30%	89.50%	90.00%

Key: Blue – Data Update

Targets: Description of Stakeholder Input - Please see the Stakeholder Involvement section of the introduction.

Enter additional information about stakeholder involvement

6/8/2016 Page 22 of 66

# Indicator 4: Family Involvement FFY 2014 Data

Monitoring Priority: Early Intervention Services In Natural Environments

Results indicator: Percent of families participating in Part C who report that early intervention services have helped the family:

- A. Know their rights;
- B. Effectively communicate their children's needs; and
- C. Help their children develop and learn.

(20 U.S.C. 1416(a)(3)(A) and 1442)

#### FFY 2014 SPP/APR Data

Number of respondent families participating in Part C	305.00
A1. Number of respondent families participating in Part C who report that early intervention services have helped the family know their rights	301.00
A2. Number of responses to the question of whether early intervention services have helped the family know their rights	302.00
B1. Number of respondent families participating in Part C who report that early intervention services have helped the family effectively communicate their children's needs	298.00
B2. Number of responses to the question of whether early intervention services have helped the family effectively communicate their children's needs	302.00
C1. Number of respondent families participating in Part C who report that early intervention services have helped the family help their children develop and learn	300.00
C2. Number of responses to the question of whether early intervention services have helped the family help their children develop and learn	304.00

<sup>\*</sup> FFY 2013 Data and FFY 2014 Target are editable on the Historical Data and Targets page.

	FFY 2013 Data*	FFY 2014 Target*	FFY 2014 Data	Status	Slippage
A. Percent of families participating in Part C who report that early intervention services have helped the family know their rights	96.83%	93.90%	99.67%	Met Target	No Slippage
B. Percent of families participating in Part C who report that early intervention services have helped the family effectively communicate their children's needs	97.74%	89.40%	98.68%	Met Target	No Slippage
C. Percent of families participating in Part C who report that early intervention services have helped the family help their children develop and learn	96.38%	89.30%	98.68%	Met Target	No Slippage

<sup>\*</sup> FFY 2013 Data and FFY 2014 Target are editable on the Historical Data and Targets page.

Describe how the State has ensured that any response data are valid and reliable, including how the data represent the demographics of the State.

In FFY2014, a total of 931 surveys were distributed to Part C families; 305 were returned for a response rate of 32.8%, this is a 5% increase in the return rate over FFY2013. The validity and reliability of the survey is ensured by having a carefully crafted survey that is understandable, that measures the indicator, and is based on a representative group of parents. The representativeness of the surveys was assessed by examining the demographic characteristics of the children of the parents who responded to the survey to the demographic characteristics of children in the Part C system in South Dakota. This comparison indicates the results are representative by geographic region where the child receives services, the age of the child at referral and race/ethnicity of the child. For example, 16% of parents who returned a survey indicated that their children are Native American and 16% of Part C children are Native American; 74% of the parents who returned a survey indicated that their children are white and 72% of Part C children are white. See below chart for details (also attached).

C4

Was sampling used? No
Was a collection tool used? Yes
Is it a new or revised collection tool? No  Yes, the data accurately represent the demographics of the State
No, the data does not accurately represent the demographics of the State
Provide additional information about this indicator (optional)

6/8/2016 Page 24 of 66

# **Indicator 4: Family Involvement**

**Required Actions from FFY 2013** 

Monitoring Priority: Early Intervention Services In Natural Environments

Results indicator: Percent of families participating in Part C who report that early intervention services have helped the family:

- A. Know their rights;
- B. Effectively communicate their children's needs; and
- C. Help their children develop and learn.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Actions required in FFY 2013 response								
None								

6/8/2016 Page 25 of 66

#### **Indicator 5: Child Find (Birth to One)**

**Historical Data and Targets** 

Monitoring Priority: Effective General Supervision Part C / Child Find

Results indicator: Percent of infants and toddlers birth to 1 with IFSPs compared to national data.

(20 U.S.C. 1416(a)(3)(B) and 1442)

#### **Historical Data**

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013
Target ≥			0.93%	0.95%	0.97%	0.89%	0.90%	0.91%	0.91%	0.82%
Data		0.82%	1.21%	1.15%	0.87%	0.88%	1.21%	1.25%	1.36%	1.64%

ey: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update

#### FFY 2014 - FFY 2018 Targets

FFY	2014	2015	2016	2017	2018
Target ≥	0.82%	0.82%	0.82%	0.85%	0.86%

Key: Blue – Data Update

 $\textbf{Targets: Description of Stakeholder Input} \ \ \textbf{-} \ \ \text{Please see the Stakeholder Involvement section of the} \ \ \underline{\text{introduction}}.$ 

Enter additional information about stakeholder involvement

6/8/2016 Page 26 of 66

#### Indicator 5: Child Find (Birth to One) FFY 2014 Data

Monitoring Priority: Effective General Supervision Part C / Child Find

Results indicator: Percent of infants and toddlers birth to 1 with IFSPs compared to national data.

(20 U.S.C. 1416(a)(3)(B) and 1442)

#### **Prepopulated Data**

Source	Date	Description	Data	Overwrite Data
SY 2014-15 Child Count/Educational Environment Data Groups	7/2/2015	Number of infants and toddlers birth to 1 with IFSPs	206	null
U.S. Census Annual State Resident Population Estimates April 1, 2010 to July 1, 2013	4/3/2014	Population of infants and toddlers birth to 1	12,313	null

#### FFY 2014 SPP/APR Data

Number of infants and toddlers birth to 1 with IFSPs	Population of infants and toddlers birth to 1	FFY 2013 Data*	FFY 2014 Target*	FFY 2014 Data	Status	Slippage
206	12,313	1.64%	0.82%	1.67%	Met Target	No Slippage

<sup>\*</sup> FFY 2013 Data and FFY 2014 Target are editable on the Historical Data and Targets page.



# Provide additional information about this indicator (optional)

According to IDEA 2014 data of children under the age of one receiving services by eligibility, South Dakota ranks seventh out of the 19 states in the Category B Eligibility criteria.

The US Census reports the birthrate for South Dakota increased by 1.40% from FFY2013 to FFY2014. Data indicates the South Dakota Birth to Three program increased in the birth to one category from FFY2013 1.64% (199 children) to 1.67% (206 children) or a 3.52% increase. South Dakota birthrate increased and likewise more children were identified as being eligible for early intervention services. South Dakota exceeds the National average of 1.15%.

6/8/2016 Page 27 of 66

# **Indicator 5: Child Find (Birth to One)**

Required Actions from FFY 2013

Monitoring Priority: Effective General Supervision Part C / Child Find

Results indicator: Percent of infants and toddlers birth to 1 with IFSPs compared to national data.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Actions required in FFY 2013 response							
None							

6/8/2016 Page 28 of 66

# Indicator 6: Child Find (Birth to Three)

**Historical Data and Targets** 

Monitoring Priority: Effective General Supervision Part C / Child Find

Results indicator: Percent of infants and toddlers birth to 3 with IFSPs compared to national data.

(20 U.S.C. 1416(a)(3)(B) and 1442)

#### **Historical Data**

Baseline Data: 2009

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013
Target ≥			2.86%	2.87%	2.88%	2.84%	2.85%	2.86%	2.86%	2.81%
Data		2.91%	2.97%	3.27%	3.14%	2.81%	3.10%	3.10%	3.05%	3.21%

ey: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update

#### FFY 2014 - FFY 2018 Targets

FFY	2014	2015	2016	2017	2018
Target ≥	2.81%	2.81%	2.81%	2.82%	2.83%

Key: Blue – Data Update

Targets: Description of Stakeholder Input - Please see the Stakeholder Involvement section of the introduction.

Enter additional information about stakeholder involvement

6/8/2016 Page 29 of 66

# Indicator 6: Child Find (Birth to Three)

FFY 2014 Data

Monitoring Priority: Effective General Supervision Part C / Child Find

Results indicator: Percent of infants and toddlers birth to 3 with IFSPs compared to national data.

(20 U.S.C. 1416(a)(3)(B) and 1442)

#### **Prepopulated Data**

Source	Date	Description	Data	Overwrite Data
SY 2014-15 Child Count/Educational Environment Data Groups	7/2/2015	Number of infants and toddlers birth to 3 with IFSPs	1,255	
U.S. Census Annual State Resident Population Estimates April 1, 2010 to July 1, 2013	7/2/2015	Population of infants and toddlers birth to 3	36,638	

#### FFY 2014 SPP/APR Data

Number of infants and toddlers birth to 3 with IFSPs	Population of infants and toddlers birth to 3	FFY 2013 Data*	FFY 2014 Target*	FFY 2014 Data	Status	Slippage
1,255	36,638	3.21%	2.81%	3.43%	Met Target	No Slippage

<sup>\*</sup> FFY 2013 Data and FFY 2014 Target are editable on the Historical Data and Targets page.



According to IDEA 2014 child count data for children served ages birth to three, South Dakota ranked tenth out of the 19 states in the Category B Eligibility criteria.

The US Census reports South Dakota had a 1.42% increase in the population of children age birth to three, from FFY2013 to FFY2014. The Birth to Three program in South Dakota also increased the number of children served by 8.38%. The FFY2013 child count for South Dakota's Birth to Three program was 1158 compared to 1255 in FFY2014. Nationally, South Dakota exceeds the national average of 2.95%, serving 3.43% of South Dakota's birth to age three population.

6/8/2016 Page 30 of 66

# **Indicator 6: Child Find (Birth to Three)**

Required Actions from FFY 2013

Monitoring Priority: Effective General Supervision Part C / Child Find

Results indicator: Percent of infants and toddlers birth to 3 with IFSPs compared to national data.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Actions required in FFY 2013 response	Actions required in FFY 2013 response						
None							

6/8/2016 Page 31 of 66

# Indicator 7: 45-day timeline

**Historical Data and Targets** 

Monitoring Priority: Effective General Supervision Part C / Child Find

Compliance indicator: Percent of eligible infants and toddlers with IFSPs for whom an initial evaluation and initial assessment and an initial IFSP meeting were conducted within Part C's 45-day timeline.

(20 U.S.C. 1416(a)(3)(B) and 1442)

#### **Historical Data**

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013
Target			100%	100%	100%	100%	100%	100%	100%	100%
Data		97.30%	100%	100%	100%	100%	100%	100%	100%	99.44%
		Key:	Gray – Data Pr	rior to Baseline	Yellow –	Baseline	Blue – Data U	pdate		

#### FFY 2014 - FFY 2018 Targets

FFY	2014	2015	2016	2017	2018
Target	100%	100%	100%	100%	100%

6/8/2016 Page 32 of 66

# Indicator 7: 45-day timeline

FFY 2014 Data

Monitoring Priority: Effective General Supervision Part C / Child Find

Compliance indicator: Percent of eligible infants and toddlers with IFSPs for whom an initial evaluation and initial assessment and an initial IFSP meeting were conducted within Part C's 45-day timeline.

(20 U.S.C. 1416(a)(3)(B) and 1442)

#### FFY 2014 SPP/APR Data

Number of eligible infants and toddlers with IFSPs for whom an initial evaluation and assessment and an initial IFSP meeting was conducted within Part C's 45-day timeline	Number of eligible infants and toddlers evaluated and assessed for whom an initial IFSP meeting was required to be conducted	FFY 2013 Data*	FFY 2014 Target*	FFY 2014 Data	Status	Slippage
172	172	99.44%	100%	100%	Met Target	No Slippage

<sup>\*</sup> FFY 2013 Data and FFY 2014 Target are editable on the Historical Data and Targets page.

Number of documented delays attributable to exceptional family circumstances (this number will be added to the Number of eligible infants and toddlers with IFSPs for whom an initial evaluation and assessment and an initial IFSP meeting was conducted within Part C's 45-day timeline)

What is the source of the data provided for this indicator?

State monitoring

State database

Provide the time period in which the data were collected (e.g., September through December, fourth quarter, selection from the full reporting period).

Third quarter FFY2014 January 1, 2015 through March 31, 2015

Describe how the data accurately reflect data for infants and toddlers with IFSPs for the full reporting period.

For indicator C7, one quarter of the fiscal year was used to determine compliance with the indicator. The State selected the third quarter of FFY2014 (January 1, 2015 through March 31, 2015). This poll is considered representative of the full reporting year because the same variables are in place for this quarter of the fiscal year as in all quarters. The South Dakota Birth to Three program is confident that the chosen reporting period accurately reflects data for infants and toddlers with IFSPs for FFY2014.

Provide additional information about this indicator (optional)

6/8/2016 Page 33 of 66

# Indicator 7: 45-day timeline

**Required Actions from FFY 2013** 

Monitoring Priority: Effective General Supervision Part C / Child Find

Compliance indicator: Percent of eligible infants and toddlers with IFSPs for whom an initial evaluation and initial assessment and an initial IFSP meeting were conducted within Part C's 45-day timeline.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Actions required in FFY 2013 response					
None					

6/8/2016 Page 34 of 66

#### Indicator 7: 45-day timeline

**Correction of Previous Findings of Noncompliance** 

Monitoring Priority: Effective General Supervision Part C / Child Find

Compliance indicator: Percent of eligible infants and toddlers with IFSPs for whom an initial evaluation and initial assessment and an initial IFSP meeting were conducted within Part C's 45-day timeline.

(20 U.S.C. 1416(a)(3)(B) and 1442)

#### Correction of Findings of Noncompliance Identified in FFY 2013

Findings of Noncompliance Identified	Findings of Noncompliance Verified as Corrected Within One Year	Findings of Noncompliance Subsequently Corrected	Findings Not Yet Verified as Corrected
1	1	null	0

#### FFY 2013 Findings of Noncompliance Verified as Corrected

Describe how the State verified that the source of noncompliance is correctly implementing the regulatory requirements

State Staff monitor compliance of indicator C7 through the Birth to Three state data system. During the monitoring process it was found that one file from the Southest Regional Birth to Three program did not meet the 45 day timeline. The state provided technical assistance to Southest Regional Birth to Three Program to ensure and verify correction of the noncompliance in accordance with the federal requirement prongs of correction (OSEP 09-02).

Utilizing the Birth to Three data system, state staff monitored Southeast Regional Birth to Three program C7 data and found no further instances of noncompliance during the subsequent two quarters of monitoring.

Describe how the State verified that each individual case of noncompliance was corrected

It was found due to turnover of service coordinators in the Southeast Area Birth to Three program one child's 45-day timeline did not fall within the required timelines. Using the state data system state staff were able to ensure an IFSP was written for this child. The Southeast Regional program has put procedures into place to safeguard against future noncompliance.

#### Correction of Findings of Noncompliance Identified Prior to FFY 2013

	Findings of Noncompliance Not Yet Verified as Corrected as of FFY 2013 APR	Findings of Noncompliance Verified as Corrected	Findings Not Yet Verified as Corrected
None			

6/8/2016 Page 35 of 66

#### **Indicator 8: Early Childhood Transition**

FFY 2014 Data: All Indicator 8 Sections

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the SEA and the LEA where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

#### FFY 2014 SPP/APR Data

Number of toddlers with disabilities exiting Part C	158
Number of toddlers with disabilities exiting Part C who were potentially eligible for Part B	158

Provide additional information about this indicator (optional)

In South Dakota all children are potentionally eligible for Part B. One-hundred and ten days prior to child turning three years old, the state Birth to Three data system automatically generates an email to notify the Special Education Director of the LEA and the SEA. In addition, service coordinators send the LEA a notification prior to the child turning three years of age.

6/8/2016 Page 36 of 66

# **Indicator 8A: Early Childhood Transition**

**Historical Data and Targets** 

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the SEA and the LEA where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

#### **Historical Data**

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013
Target			100%	100%	100%	100%	100%	100%	100%	100%
Data		100%	100%	100%	100%	100%	100%	100%	100%	100%

Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update

#### FFY 2014 - FFY 2018 Targets

FFY	2014	2015	2016	2017	2018
Target	100%	100%	100%	100%	100%

6/8/2016 Page 37 of 66

# **Indicator 8A: Early Childhood Transition**

FFY 2014 Data

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the SEA and the LEA where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

#### FFY 2014 SPP/APR Data

Source	Date	Description	Data	Overwrite Data
Indicator 8	12/28/2015	Number of toddlers with disabilities exiting Part C	158	null
Indicator 8	12/28/2015	Number of toddlers with disabilities exiting Part C who were potentially eligible for Part B	158	null

Data include only those toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has developed an IFSP with transition steps and <u>services at least 90 days</u>, and at the discretion of all <u>parties</u>, not more than nine months, prior to the toddler's third birthday.





Number of children exiting Part C who have an IFSP with transition steps and services	Number of toddlers with disabilities exiting Part C	FFY 2013 Data*	FFY 2014 Target*	FFY 2014 Data	Statı
158	158	100%	100%	100%	Met Ta

Status Slippage

Met Target No Slippage

<sup>\*</sup> FFY 2013 Data and FFY 2014 Target are editable on the Historical Data and Targets page.

	Number of documented delays attributable to exceptional family circumstances (this number will be added to the Number of children exiting Part C who have an IFSP with transition steps and services)	null
--	---	------

What is the source of the data provided for this indicator?



Provide the time period in which the data were collected (e.g., September through December, fourth quarter, selection from the full reporting period).

Third quarter FFY2014 January 1, 2015 through March 31, 2015

Describe how the data accurately reflect data for infants and toddlers with IFSPs for the full reporting period.

For indicator C8A, one quarter of the fiscal year was used to determine compliance with the indicator. The State selected the third quarter of FFY2014 (January 1, 2015 - March 31, 2015). This poll is considered representative of the full reporting year because the same variables are in place for this quarter of the fiscal year as in all quarters. The South Dakota Birth to Three program is confident that the chosen reporting period

6/8/2016 Page 38 of 66

accurately reflects data for infants and toddlers with IFSPs for FFY2014.

Provide additional information about this indicator (optional)

6/8/2016 Page 39 of 66

## **Indicator 8A: Early Childhood Transition**

**Required Actions from FFY 2013** 

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the SEA and the LEA where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Actions required in FFY 2013 response	
None	

6/8/2016 Page 40 of 66

# **Indicator 8A: Early Childhood Transition**

**Correction of Previous Findings of Noncompliance** 

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the SEA and the LEA where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

#### **Correction of Findings of Noncompliance Identified in FFY 2013**

Findings of Noncompliance Identified	Findings of Noncompliance Verified as Corrected Within One Year	Findings of Noncompliance Subsequently Corrected	Findings Not Yet Verified as Corrected
null	null	null	0

## **Correction of Findings of Noncompliance Identified Prior to FFY 2013**

	Findings of Noncompliance Not Yet Verified as Corrected as of FFY 2013 APR	Findings of Noncompliance Verified as Corrected	Findings Not Yet Verified as Corrected
None			

6/8/2016 Page 41 of 66

# **Indicator 8B: Early Childhood Transition**

**Historical Data and Targets** 

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the SEA and the LEA where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

#### **Historical Data**

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013
Target			100%	100%	100%	100%	100%	100%	100%	100%
Data		100%	100%	100%	100%	100%	100%	100%	100%	100%

ey: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update

#### FFY 2014 - FFY 2018 Targets

FFY	2014	2015	2016	2017	2018
Target	100%	100%	100%	100%	100%

6/8/2016 Page 42 of 66

# **Indicator 8B: Early Childhood Transition**

FFY 2014 Data

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the SEA and the LEA where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

#### FFY 2014 SPP/APR Data

Source	Date	Description	Data	Overwrite Data
Indicator 8	12/28/2015	Number of toddlers with disabilities exiting Part C who were potentially eligible for Part B	158	null

#### Data include notification to both the SEA and LEA





Number of toddlers with disabilities exiting Part C where notification to the SEA and LEA occurred at least 90 days prior to their third birthday for toddlers potentially eligible for Part B preschool services	Number of toddlers with disabilities exiting Part C who were potentially eligible for Part B	FFY 2013 Data*	FFY 2014 Target*	FFY 2014 Data	Status	Slippage
158	158	100%	100%	100%	Met Target	No Slippage

<sup>\*</sup> FFY 2013 Data and FFY 2014 Target are editable on the Historical Data and Targets page.

Number of parents who opted out (this number will be subtracted from the number of toddlers with disabilities exiting Part C who were potentially eligible for Part B when calculating the FFY 2014 Data)
---

#### Describe the method used to collect these data

In South Dakota all children are potentionally eligible for Part B. One-hundred and ten days prior to child turning three years old the states data system automatically generates an email to notify the Special Education Director of the LEA and the SEA. In addition, service coordinators send the LEA another notification prior to the child turning three years of age.

Do you have a written opt-out policy? No

What is the source of the data provided for this indicator?

State monitoring

State database

Provide the time period in which the data were collected (e.g., September through December, fourth quarter, selection

6/8/2016 Page 43 of 66

from the full reporting period).

Third Quarter FFY2014 January 1, 2015 through March 31, 2015

Describe how the data accurately reflect data for infants and toddlers with IFSPs for the full reporting period.

For indicator C8B, one quarter of the fiscal year was used to determine compliance with the indicator. The State selected the third quarter of FFY2014 (January 1, 2015 through March 31, 2015). This poll is considered representative of the full reporting year because the same variables are in place for this quarter of the fiscal year as in all quarters. The South Dakota Birth to Three program is confident that the chosen reporting period accurately reflects data for infants and toddlers with IFSPs for FFY2014.

Provide additional information about this indicator (optional)

6/8/2016 Page 44 of 66

# **Indicator 8B: Early Childhood Transition**

**Required Actions from FFY 2013** 

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the SEA and the LEA where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Actions required in FFY 2013 response	
None	

6/8/2016 Page 45 of 66

# **Indicator 8B: Early Childhood Transition**

**Correction of Previous Findings of Noncompliance** 

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the SEA and the LEA where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

#### **Correction of Findings of Noncompliance Identified in FFY 2013**

Findings of Noncompliance Identified	Findings of Noncompliance Verified as Corrected Within One Year	Findings of Noncompliance Subsequently Corrected	Findings Not Yet Verified as Corrected
null	null	null	0

## **Correction of Findings of Noncompliance Identified Prior to FFY 2013**

	Findings of Noncompliance Not Yet Verified as Corrected as of FFY 2013 APR	Findings of Noncompliance Verified as Corrected	Findings Not Yet Verified as Corrected
None			

6/8/2016 Page 46 of 66

# **Indicator 8C: Early Childhood Transition**

**Historical Data and Targets** 

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the SEA and the LEA where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

#### **Historical Data**

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013
Target			100%	100%	100%	100%	100%	100%	100%	100%
Data		94.60%	96.50%	100%	100%	100%	100%	100%	100%	99.38%

ey: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update

#### FFY 2014 - FFY 2018 Targets

FFY	2014	2015	2016	2017	2018
Target	100%	100%	100%	100%	100%

6/8/2016 Page 47 of 66

# **Indicator 8C: Early Childhood Transition**

FFY 2014 Data

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the SEA and the LEA where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

#### FFY 2014 SPP/APR Data

Source	Date	Description	Data	Overwrite Data
Indicator 8	12/28/2015	Number of toddlers with disabilities exiting Part C who were potentially eligible for Part B	158	null

Data reflect only those toddlers for whom the Lead Agency has conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services



Number of toddlers with disabilities exiting Part C where the transition conference occurred at least 90 days, and at the discretion of all parties at least nine months prior to the toddler's third birthday for toddlers potentially eligible for Part B	Number of toddlers with disabilities exiting Part C who were potentially eligible for Part B	FFY 2013 Data*	FFY 2014 Target*	FFY 2014 Data	Status	Slippage
158	158	99.38%	100%	100%	Met Target	No Slippage

<sup>\*</sup> FFY 2013 Data and FFY 2014 Target are editable on the Historical Data and Targets page.

Number of toddlers for whom the parent did not provide approval for the transition conference (this number will be subtracted from the number of toddlers with disabilities exiting Part C who were potentially eligible for Part B when calculating the FFY 2014 Data)	null
Number of documented delays attributable to exceptional family circumstances (this number will be added to the Number of toddlers with disabilities exiting Part C where the transition conference occurred at least 90 days, and at the discretion of all parties at least nine months prior to the toddler's third birthday for toddlers potentially eligible for Part B)	null

What is the source of the data provided for this indicator?

State monitoring

State database

Provide the time period in which the data were collected (e.g., September through December, fourth quarter, selection from the full reporting period).

Third quarter January 1, 2015 through March 31, 2015

Describe how the data accurately reflect data for infants and toddlers with IFSPs for the full reporting period.

6/8/2016 Page 48 of 66

For indicator C8C, one quarter of the fiscal year was used to determine compliance with the indicator. The State selected the third quarter of FFY2014 (January 1, 2015 through March 31, 2015). This poll is considered representative of the full reporting year because the same variables are in place for this quarter of the fiscal year as in all quarters. The South Dakota Birth to Three program is confident that the chosen reporting period accurately reflects data for infants and toddlers with IFSPs for FFY2014.

Provide additional information about this indicator (optional)

6/8/2016 Page 49 of 66

## **Indicator 8C: Early Childhood Transition**

**Required Actions from FFY 2013** 

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the SEA and the LEA where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Actions required in FFY 2013 response	
None	

6/8/2016 Page 50 of 66

# **Indicator 8C: Early Childhood Transition**

**Correction of Previous Findings of Noncompliance** 

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the SEA and the LEA where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

#### **Correction of Findings of Noncompliance Identified in FFY 2013**

Findings of Noncompliance Identified	Findings of Noncompliance Verified as Corrected Within One Year	Findings of Noncompliance Subsequently Corrected	Findings Not Yet Verified as Corrected	
1	1	null	0	

#### FFY 2013 Findings of Noncompliance Verified as Corrected

Describe how the State verified that the source of noncompliance is correctly implementing the regulatory requirements

State staff reviewed compliance of indicator C8C through the data system. During the monitoring process it was found that one file from the Southest Regional Birth to Three program did not meet the transition conference timelines. The state provided technical assistance to Southeast Birth to Three program to ensure and verify correction of the noncompliance in accordance with the federal requirement prongs of correction (OSEP 09-02).

Utilizing the Birth to Three data system, state staff have monitored Southeast Regional Birth to Three program's C8C data and found no further instances of noncompliance during the additional two quarters monitored.

Describe how the State verified that each individual case of noncompliance was corrected

It was found that due to turnover of service coordinators in the Southeast Area Birth to Three program a child's transition conference did not fall within the required timelines. Using the state data system, state staff were able to ensure that a transition conference was held. Southeast Regional Birth to Three program has put procedures into place to safeguard against future noncompliance.

#### Correction of Findings of Noncompliance Identified Prior to FFY 2013

	Findings of Noncompliance Not Yet Verified as Corrected as of FFY 2013 APR	Findings of Noncompliance Verified as Corrected	Findings Not Yet Verified as Corrected
None			

6/8/2016 Page 51 of 66

# **Indicator 9: Resolution Sessions**

**Historical Data and Targets** 

Monitoring Priority: Effective General Supervision Part C / General Supervision

Results indicator: Percent of hearing requests that went to resolution sessions that were resolved through resolution session settlement agreements (applicable if Part B due process procedures are adopted).

(20 U.S.C. 1416(a)(3)(B) and 1442)

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013
arget ≥										
Data										
FFY 2014 - FFY 2018 Targets										
		0044		0045		0040		0047		242
FFY		2014		2015		2016		2017	2	018
<b>FFY</b> Target ≥		2014			Blue – Data Ur			2017	2	018

6/8/2016 Page 52 of 66

# **Indicator 9: Resolution Sessions**

FFY 2014 Data

Monitoring Priority: Effective General Supervision Part C / General Supervision

Results indicator: Percent of hearing requests that went to resolution sessions that were resolved through resolution session settlement agreements (applicable if Part B due process procedures are adopted).

(20 U.S.C. 1416(a)(3)(B) and 1442)

### **Prepopulated Data**

Source	Date	Description	Data	Overwrite Data
SY 2014-15 EMAPS IDEA Part C Dispute Resolution Survey; Section C: Due Process Complaints	11/5/2015	3.1(a) Number resolution sessions resolved through settlement agreements	0	null
SY 2014-15 EMAPS IDEA Part C Dispute Resolution Survey; Section C: Due Process Complaints	11/5/2015	3.1 Number of resolution sessions	0	null

### FFY 2014 SPP/APR Data

3.1(a) Number resolution sessions resolved through settlement agreements	3.1 Number of resolution sessions	FFY 2013 Data*	FFY 2014 Target*	FFY 2014 Data	Status	Slippage
0	0				Incomplete Data	N/A

<sup>\*</sup> FFY 2013 Data and FFY 2014 Target are editable on the Historical Data and Targets page.

Provide additional information about this indicator (optional)

6/8/2016 Page 53 of 66

# **Indicator 9: Resolution Sessions**

**Required Actions from FFY 2013** 

Monitoring Priority: Effective General Supervision Part C / General Supervision

Results indicator: Percent of hearing requests that went to resolution sessions that were resolved through resolution session settlement agreements (applicable if Part B due process procedures are adopted).

(20 U.S.C. 1416(a)(3)(B) and 1442)

Actions required in FFY 2013 response		
None		

6/8/2016 Page 54 of 66

# **Indicator 10: Mediation**

**Historical Data and Targets** 

Monitoring Priority: Effective General Supervision Part C / General Supervision

Results indicator: Percent of mediations held that resulted in mediation agreements.

(20 U.S.C. 1416(a)(3)(B) and 1442)

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013
arget ≥										
)ata										
FFY 2014 - FFY 2018 Targets  FFY 2014 2015 2016 2017 2018										
FFY		2014		2015		2016		2017		010
FFY arget ≥		2014		2015		2016		2017	2	010
		2014		2015 Key:	Blue – Data U			2017	2	010
		2014			Blue – Data U <sub>l</sub>			2017	2	
	iption of St		put - Please	Key:		odate		2017	2	010

6/8/2016 Page 55 of 66

# **Indicator 10: Mediation**

FFY 2014 Data

Monitoring Priority: Effective General Supervision Part C / General Supervision

Results indicator: Percent of mediations held that resulted in mediation agreements.

(20 U.S.C. 1416(a)(3)(B) and 1442)

## **Prepopulated Data**

Source	Date	Description	Data	Overwrite Data
SY 2014-15 EMAPS IDEA Part C Dispute Resolution Survey; Section B: Mediation Requests	11/5/2015	2.1.a.i Mediations agreements related to due process complaints	0	null
SY 2014-15 EMAPS IDEA Part C Dispute Resolution Survey; Section B: Mediation Requests	11/5/2015	2.1.b.i Mediations agreements not related to due process complaints	0	null
SY 2014-15 EMAPS IDEA Part C Dispute Resolution Survey; Section B: Mediation Requests	11/5/2015	2.1 Mediations held	0	null

### FFY 2014 SPP/APR Data

2.1.a.i Mediations agreements related to due process complaints	2.1.b.i Mediations agreements not related to due process complaints	2.1 Mediations held	FFY 2013 Data*	FFY 2014 Target*	FFY 2014 Data	Status	Slippage
0	0	0				N/A	N/A

<sup>\*</sup> FFY 2013 Data and FFY 2014 Target are editable on the Historical Data and Targets page.

Provide additional information about this indicator (optional)

6/8/2016 Page 56 of 66

# Indicator 10: Mediation Required Actions from FFY 2013

Monitoring Priority: Effective General Supervision Part C / General Supervision

Results indicator: Percent of mediations held that resulted in mediation agreements.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Actions required in FFY 2013 response		
None		

6/8/2016 Page 57 of 66

# Indicator 11: State Systemic Improvement Plan

**Data and Overview** 

Monitoring Priority: General Supervision

Results indicator: The State's SPP/APR includes a State Systemic Improvement Plan (SSIP) that meets the requirements set forth for this indicator.

#### **Reported Data**

Baseline Data: 2013

FFY	2013	2014				
Target		58.82%				
Data	58.82%	54.97%				
Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update						

#### **Explanation of Changes**

South Dakota identified Data Quality as one of the main strands on the State's Theory of Action. Stakeholders recognized there may be a lack of consistency in the use of the BDI-2 evaluation tool across evaluators, and a need for continuous training for new staff and to update skills of experienced staff.

In collaboration with South Dakota Part B 619, in-depth BDI-2 training will be provided to all evaluators, so that evaluations are implemented consistently and results are interpreted uniformly across districts. This will improve the reliability and validity of the BDI-2 administration. Trainings will occur in Phase III of South Dakota's State Systemic Improvement Plan.

### FFY 2015 - FFY 2018 Targets

FFY	2015	2016	2017	2018
Target	58.82%	58.82%	58.82%	60.00%

Key: Blue - Data Update

#### **Description of Measure**

The measure used in the collection of data for this indicator is the COSF (Child Outcome Summary Form). Entry data is collected on all children and exit data is collected upon exiting the program, if the child has been in South Dakota Birth to Three for 6 months or longer. Data under Summary Statement 1, in the Knowledge and Skills outcome area (those children making substantial progress towards functioning as same age peers), will be used to measure progress.

The baseline was established from the FFY2013, the last fiscal year, and targets were set with an increase evident by FFY2018. State Interagency Coordinating Council (ICC) members, several who also are members of the State Systemic Improvement Plan (SSIP) stakeholder group, discussed at length the targets for Indicator C-3b, Summary Statement 1. State ICC members discussed and considered facts specific to South Dakota including but not limited to critical shortage of providers, population sparsity in rural geographic locations leading to limited resources, Birth to Three program growth and financial implication (see SPP/APR Indicator C-3 Stakeholder Input). Given these facts, State ICC members proceeded with a rich conversation and unanimously recommended a conservative approach to the targets. This approach allows the state Birth to Three team to put in place statewide evidence based practices that will impact knowledge and skills outcomes.

The State Birth to Three team regularly provides state ICC members with data reports. Through this manner, State ICC members will evaluate over time if there is evidence to support increasing the targets prior to FY2018.

Targets: Description of Stakeholder Input - Please see the Stakeholder Involvement section of the introduction.

Enter additional information about stakeholder involvement

6/8/2016 Page 58 of 66

# Overview

South Dakota Phase II can be found as a pdf in the Attachments below.

6/8/2016 Page 59 of 66

# Indicator 11: State Systemic Improvement Plan

**Data and Overview** 

Monitoring Priority: General Supervision

Results indicator: The State's SPP/APR includes a State Systemic Improvement Plan (SSIP) that meets the requirements set forth for this indicator.

#### **Data Analysis**

A description of how the State identified and analyzed key data, including data from SPP/APR indicators, 618 data collections, and other available data as applicable, to: (1) select the State-identified Measurable Result(s) for Infants and Toddlers with Disabilities and their Families, and (2) identify root causes contributing to low performance. The description must include information about how the data were disaggregated by multiple variables (e.g., EIS program and/or EIS provider, geographic region, race/ethnicity, socioeconomic status, gender, etc.) As part of its data analysis, the State should also consider compliance data and whether those data present potential barriers to improvement. In addition, if the State identifies any concerns about the quality of the data, the description must include how the State will address these concerns. Finally, if additional data are needed, the description should include the methods and timelines to collect and analyze the additional data.

6/8/2016 Page 60 of 66

# Indicator 11: State Systemic Improvement

Plan

**Data and Overview** 

Monitoring Priority: General Supervision

Results indicator: The State's SPP/APR includes a State Systemic Improvement Plan (SSIP) that meets the requirements set forth for this indicator.

#### Analysis of State Infrastructure to Support Improvement and Build Capacity

A description of how the State analyzed the capacity of its current infrastructure to support improvement and build capacity in EIS programs and/or EIS providers to implement, scale up, and sustain the use of evidence-based practices to improve results for infants and toddlers with disabilities and their families. State systems that make up its infrastructure include, at a minimum: governance, fiscal, quality standards, professional development, data, technical assistance, and accountability/monitoring. The description must include current strengths of the systems, the extent the systems are coordinated, and areas for improvement of functioning within and across the systems. The State must also identify current State-level improvement plans and other early learning initiatives, such as Race to the Top-Early Learning Challenge and the Home Visiting program and describe the extent that these new initiatives are aligned, and how they are, or could be, integrated with, the SSIP. Finally, the State should identify representatives (e.g., offices, agencies, positions, individuals, and other stakeholders) that were involved in developing Phase I of the SSIP and that will be involved in developing and implementing Phase II of the SSIP.

6/8/2016 Page 61 of 66

# Indicator 11: State Systemic Improvement Plan

**Data and Overview** 

Monitoring Priority: General Supervision

Results indicator: The State's SPP/APR includes a State Systemic Improvement Plan (SSIP) that meets the requirements set forth for this indicator.

#### State-identified Measurable Result(s) for Infants and Toddlers with Disabilities and Their Families

A statement of the result(s) the State intends to achieve through the implementation of the SSIP. The State-identified Measurable Result(s) for Infants and Toddlers with Disabilities and their Families must be aligned to an SPP/APR indicator or a component of an SPP/APR indicator. The State-identified Measurable Result(s) for Infants and Toddlers with Disabilities and their Families must be clearly based on the Data and State Infrastructure Analyses and must be a child- or family-level outcome in contrast to a process outcome. The State may select a single result (e.g., increase the rate of growth in infants and toddlers demonstrating positive social-emotional skills) or a cluster of related results (e.g., increase the percentage reported under child outcome B under Indicator 3 of the SPP/APR (knowledge and skills) and increase the percentage trend reported for families under Indicator 4 (helping their child develop and learn)).

Statement

# The South Dakota Birth to Three State Identified Measurable Result (SIMR)

To substantially increase the rate of children's growth in their acquisition and use of knowledge and skills, including early language/communication, by the time they exit the program, as defined by the targets established for Indicator 3B, Summary Statement 1 in each of the years FFY 2014-2018.

Description

See "South Dakota Part C State Systemic Improement Plan 2015" in below Attachments section.

6/8/2016 Page 62 of 66

# **Indicator 11: State Systemic Improvement**

Plan

**Data and Overview** 

Monitoring Priority: General Supervision

Results indicator: The State's SPP/APR includes a State Systemic Improvement Plan (SSIP) that meets the requirements set forth for this indicator.

#### **Selection of Coherent Improvement Strategies**

An explanation of how the improvement strategies were selected, and why they are sound, logical and aligned, and will lead to a measurable improvement in the State-identified Measurable Result(s) for Infants and Toddlers with Disabilities and their Families. The improvement strategies should include the strategies, identified through the Data and State Infrastructure Analyses, that are needed to improve the State infrastructure and to support EIS program and/or EIS provider implementation of evidence-based practices to improve the State-identified result(s) for infants and toddlers with disabilities and their families. The State must describe how implementation of the improvement strategies will address identified root causes for low performance and ultimately build EIS program and/or EIS provider capacity to achieve the State-identified Measurable Result(s) for Infants and Toddlers with Disabilities and their Families.

See "South Dakota Part C State Systemic Impvoement Plan 2015" in below Attachments section.

6/8/2016 Page 63 of 66

# **Indicator 11: State Systemic Improvement**

Plan

**Data and Overview** 

Monitoring Priority: General Supervision

Results indicator: The State's SPP/APR includes a State Systemic Improvement Plan (SSIP) that meets the requirements set forth for this indicator.

#### **Theory of Action**

A graphic illustration that shows the rationale of how implementing the coherent set of improvement strategies selected will increase the State's capacity to lead meaningful change in EIS programs and/or EIS providers, and achieve improvement in the State-identified Measurable Result(s) for Infants and Toddlers with Disabilities and their Families.

Provide a description of the provided graphic illustration (optional)

6/8/2016 Page 64 of 66

# Indicator 11: State Systemic Improvement Plan

**Data and Overview** 

Monitoring Priority: General Supervision

Results indicator: The State's SPP/APR includes a State Systemic Improvement Plan (SSIP) that meets the requirements set forth for this indicator.

#### Infrastructure Development

- (a) Specify improvements that will be made to the State infrastructure to better support EIS programs and providers to implement and scale up EBPs to improve results for infants and toddlers with disabilities and their families.
- (b) Identify the steps the State will take to further align and leverage current improvement plans and other early learning initiatives and programs in the State, including Race to the Top-Early Learning Challenge, Home Visiting Program, Early Head Start and others which impact infants and toddlers with disabilities and their families.
- (c) Identify who will be in charge of implementing the changes to infrastructure, resources needed, expected outcomes, and timelines for completing improvement efforts.
- (d) Specify how the State will involve multiple offices within the State Lead Agency, as well as other State agencies and stakeholders in the improvement of its infrastructure.

See Attached

#### Support for EIS programs and providers Implementation of Evidence-Based Practices

- (a) Specify how the State will support EIS providers in implementing the evidence-based practices that will result in changes in Lead Agency, EIS program, and EIS provider practices to achieve the SIMR(s) for infants and toddlers with disabilities and their families.
- (b) Identify steps and specific activities needed to implement the coherent improvement strategies, including communication strategies and stakeholder involvement; how identified barriers will be addressed; who will be in charge of implementing; how the activities will be implemented with fidelity; the resources that will be used to implement them; and timelines for completion.
- (c) Specify how the State will involve multiple offices within the Lead Agency (and other State agencies such as the SEA) to support EIS providers in scaling up and sustaining the implementation of the evidence-based practices once they have been implemented with fidelity.

See Attached

#### **Evaluation**

- (a) Specify how the evaluation is aligned to the theory of action and other components of the SSIP and the extent to which it includes short-term and long-term objectives to measure implementation of the SSIP and its impact on achieving measurable improvement in SIMR(s) for infants and toddlers with disabilities and their families.
- (b) Specify how the evaluation includes stakeholders and how information from the evaluation will be disseminated to stakeholders.
- (c) Specify the methods that the State will use to collect and analyze data to evaluate implementation and outcomes of the SSIP and the progress toward achieving intended improvements in the SIMR(s).
- (d) Specify how the State will use the evaluation data to examine the effectiveness of the implementation; assess the State's progress toward achieving intended improvements; and to make modifications to the SSIP as necessary.

See Attached

#### **Technical Assistance and Support**

Describe the support the State needs to develop and implement an effective SSIP. Areas to consider include: Infrastructure development; Support for EIS programs and providers implementation of EBP; Evaluation; and Stakeholder involvement in Phase II.

See Attached

6/8/2016 Page 65 of 66

# Certify and Submit your SPP/APR

I certify that I am the Director of the State's Lead Agency under Part C of the IDEA, or his or her designee, and that the State's submission of its IDEA Part C State Performance Plan/Annual Performance Report is accurate.

## Selected: Lead Agency Director

Name and title of the individual certifying the accuracy of the State's submission of its IDEA Part C State Performance Plan/Annual Performance Report.

Name: Sarah Carter
Title: Part C Director

Email: sarah.carter@state.sd.us

Phone: 605-773-4478

6/8/2016 Page 66 of 66